



I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: 9/19/06 Signature: Joanne Ryan  
(Joanne Ryan)

Docket No.: HSDO-P01-003  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Helitzer et al.

Application No.: 10/656,479

Confirmation No.: 8693

Filed: September 4, 2003

Art Unit: 3626

For: SYSTEM FOR REDUCING THE RISK  
ASSOCIATED WITH AN INSURED  
BUILDING STRUCTURE THROUGH THE  
INCORPORATION OF SELECTED  
TECHNOLOGIES

Examiner: Pass, Natalie

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Supplemental Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications. Applicant submits herewith copies of foreign patents in

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<b>Effective on 12/08/2004.</b> Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		<b>Complete if Known</b>	
<b>FEE TRANSMITTAL</b> <b>For FY 2005</b>		Application Number	10/656,479
		Filing Date	September 4, 2003
		First Named Inventor	Jonathan Helitzer
		Examiner Name	Pass, Natalie
		Art Unit	3626
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Attorney Docket No.	HSDO-P01-003
<b>TOTAL AMOUNT OF PAYMENT</b>		<b>(\$)</b>	<b>180.00</b>

<b>METHOD OF PAYMENT</b> (check all that apply)	
<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card
<input type="checkbox"/> Money Order	<input type="checkbox"/> None
<input type="checkbox"/> Other (please identify): _____	
<input checked="" type="checkbox"/> Deposit Account	Deposit Account Number: <u>18-1945</u> Deposit Account Name: <u>Ropes &amp; Gray LLP</u>
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)	
<input checked="" type="checkbox"/> Charge fee(s) indicated below	<input type="checkbox"/> Charge fee(s) indicated below, <b>except for the filing fee</b>
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17	<input checked="" type="checkbox"/> Credit any overpayments

<b>FEE CALCULATION</b>							
<b>1. BASIC FILING, SEARCH, AND EXAMINATION FEES</b>							
	<b>FILING FEES</b>		<b>SEARCH FEES</b>		<b>EXAMINATION FEES</b>		
		<u>Small Entity</u>		<u>Small Entity</u>		<u>Small Entity</u>	
<u>Application Type</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fee (\$)</u>	<u>Fees Paid (\$)</u>
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
<b>2. EXCESS CLAIM FEES</b>							
<u>Fee Description</u>						<u>Small Entity</u>	
						<u>Fee (\$)</u>	<u>Fee (\$)</u>
Each claim over 20 (including Reissues)						50	25
Each independent claim over 3 (including Reissues)						200	100
Multiple dependent claims						360	180
<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>		<u>Multiple Dependent Claims</u>		
		x	=		<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	
HP = highest number of total claims paid for, if greater than 20.							
<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>				
		x	=				
HP = highest number of independent claims paid for, if greater than 3.							
<b>3. APPLICATION SIZE FEE</b>							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>			
	- 100 =	/50	(round up to a whole number) x	=			
<b>4. OTHER FEE(S)</b>							
Non-English Specification, \$130 fee (no small entity discount)							<u>Fees Paid (\$)</u>
Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement							180.00

<b>SUBMITTED BY</b>			
Signature		Registration No. (Attorney/Agent)	54,130
Name (Print/Type)	Edward A. Gordon	Telephone	(617) 951-7066
		Date	September 19, 2006

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
Dated: <u>9/19/06</u>	Signature: <u>Joanne Ryan</u> (Joanne Ryan)

accordance with 37 CFR 1.98(a)(2). Copies of translations of the abstracts of the Japanese references are enclosed.

The following co-pending patent application, in which one or more Office Actions may have issued, may include subject matter related to the above-referenced application:

Application No.	Title	Filed	Docket No.
10/655,804	System for the Acquisition of Technology Risk Mitigation Information Associated with Insurance	September 4, 2003	HSDO-P01-002

In accordance with 37 CFR 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Supplemental Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Please charge our Deposit Account No. 18-1945 in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p). The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. HSDO-P01-003. A duplicate copy of this paper is enclosed.

Dated: September 19, 2006

Respectfully submitted,

By 

Edward A. Gordon

Registration No.: 54,130

ROPES & GRAY LLP

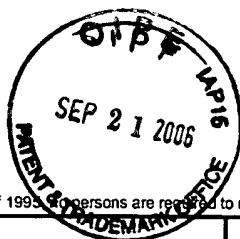
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Attorneys/Agents For Applicant



PTO/SB/08a/b (07-06)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/656,479
				Filing Date	September 4, 2003
				First Named Inventor	Jonathan Helitzer
				Art Unit	3626
				Examiner Name	Pass, Natalie
Sheet	1	of	3	Attorney Docket Number	HSDO-P01-003

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US-4,667,336	05-19-1987	Best, Robert H.	
	AB	US-5,797,134-A	08-18-1998	McMillan et al	
	AC	US-5,825,283	10-20-1998	Camhi, Elie	
	AD	US-6,064,970-A	05-16-2000	McMillan et al	
	AE	US-6,163,277-A	12-19-2000	Gehlot, Narayan L.	
	AF	US-6,204,757-B1	03-20-2001	Evans et al	
	AG	US-6,636,790-B1	10-21-2003	Lightner et al	
	AH	US-6,732,031-B1	05-04-2004	Lightner et al	
	AI	US-6,735,525-B1	05-11-2004	Murphy, Martin J.	
	AJ	US-6,767,330-B2	07-27-2004	Lavery et al	
	AK	US-6,795,759-B2	09-21-2004	Doyle, Ronald P.	
	AL	US-6,823,258-B2	11-23-2004	Ukai et al	
	AM	US-6,868,339-B2	03-15-2005	Murphy et al	
	AN	US-6,868,386-B1	03-15-2005	Henderson et al	
	AO	US-6,931,309-B2	08-16-2005	Phelan et al	
	AP	US-6,987,964-B2	01-17-2006	Obradovich et al	
	AQ	US-2001-0039509-A1	11-08-2001	Dar et al	
	AR	US-2002-0013717-A1	01-31-2002	Ando et al	
	AS	US-2002-0111725-A1	08-15-2002	Burge, John R.	
	AT	US-2002-0128882-A1	09-12-2002	Nakagawa et al	
	AU	US-2002-0178033-A1	11-28-2002	Yoshioka et al	
	AV	US-2003-0033057-A1	02-13-2003	Kallestad, Daniel	
	AW	US-2003-0158758-A1	08-21-2003	Kanazawa et al	
	AX	US-2003-0171956-A1	09-11-2003	Cox et al	
	AY	US-2003-0187704-A1	10-02-2003	Hashiguchi et al	
	AZ	US-2003-0233278-A1	12-18-2003	Marshall, T. Thaddeus	
	AA1	US-2004-0039611-A1	02-26-2004	Hong et al	
	AB1	US-2004-0036601-A1	02-26-2004	Obradovich, Michael L.	
	AC1	US-2004-0139034-A1	07-15-2004	Farmer, Bennie L.	
	AD1	US-2004-0153762-A1	08-05-2004	Flynn et al	
	AE1	US-2004-0181495-A1	09-16-2004	Grush et al	
	AF1	US-2005-0071202-A1	03-31-2005	Kendrick, Rodney B.	
	AG1	US-2005-0075067-A1	04-07-2005	Lawson et al	
	AH1	US-2005-0091175-A9	04-28-2005	Farmer, Bennie L.	
	AI1	US-2004-0102172-A1	05-12-2005	Sirmans, Jr., James R.	
	AJ1	US-2005-0174217-A1	08-11-2005	Basir et al	
	AK1	US-2005-0285748-A1	12-29-2005	Pedraza et al	
	AL1	US-2006-0000420-A1	01-05-2006	Davies, Michael A.M.	
	AM1	US-2006-0033625-A1	02-16-2006	Johnson, C. et al	
	AN1	US-2006-0036473-A1	02-16-2006	Taylor, Don	
	AO1	US-2006-0053038-A1	03-09-2006	Warren et al	
	AP1	US-2005-0216583-A1	09-29-2005	Cole et al	
	AQ1	US-2005-0192730-A1	09-01-2005	Churchill et al	
	AR1	US-7,017,142-B1	03-21-2006	Ehnebuske et al	
	AS1	US-5,635,693-A	06-03-1997	Benson et al	

Examiner Signature		Date Considered	
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<p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(Use as many sheets as necessary)</p>				<b>Complete if Known</b>	
				Application Number	10/656,479
				Filing Date	September 4, 2003
				First Named Inventor	Jonathan Helitzer
				Art Unit	3626
				Examiner Name	Pass, Natalie
Sheet	2	of	3	Attorney Docket Number	HSDO-P01-003

AT1	US-6,211,777-B1	04-03-2001	Greenwood et al	
AU1	US-6,307,965-B1	10-23-2001	Aggarwal et al	
AV1	US-6,563,423-B2	05-13-2003	Smith, Gordon J.	
AW1	US-6,583,734-B2	06-24-2003	Bates et al	
AX1	US-6,871,199-B1	03-22-2005	Binnig et al	
AY1	US-6,968,453-B2	11-22-2005	Doyle et al	
AZ1	US-7,069,118-B2	06-27-2006	Colettrane et al	
AA2	US-5,970,464-A	10-19-1999	Apte et al	
AB2	US-6,389,340-B1	05-14-2002	Rayner, Gary A.	
AC2	US-6,754,485-B1	06-22-2004	Obradovich et al	
AD2	US-2001-0044733-A1	11-22-2001	Lee et al	
AE2	US-2002-0002475-A1	01-03-2002	Freedman et al	
AF2	US-2003-0233261-A1	12-18-2003	Kawahara et al	
AG2	US-2002-0103622-A1	08-01-2002	Burge, John R.	
AH2	US-2002-0115423-A1	08-22-2002	Hatae et al	
AI2	US-2003-0154009-A1	08-14-2003	Basir et al	
AJ2	US-2003-0221118-A1	11-27-2003	Walker, Richard C.	
AK2	US-2002-0055861-A1	05-09-2002	King et al	
AL2	US-2005-0065682-A1	03-24-2005	Kapadia et al	
AM2	US-2005-0108065-A1	05-19-2005	Dorfstatter, Walter A.	
AN2	US-2005-0278082-A1	12-15-2005	Weekes, David	
AO2	US-2005-0038682-A1	02-17-2005	Gandee et al	
AP2	US-6,223,125-B1	04-24-2001	Hall, Brett O.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	BA	EP-1160707	12-05-2001	Progressive DirectTrac Service Corp.		
	BB	EP-1241599	09-18-2002	NetFormFive Informations		
	BC	EP-1313043	05-21-2003	Matsushita Electric Industrial Co.		
	BD	EP-1544771	06-22-2005	Kabushiki Kaisha Toshiba		
	BE	EP-0155779	09-25-1985	Sumitomo Chemical Company		
	BF	EP-1583013	10-05-2005	Swiss Reinsurance Company		
	BG	JP-2002183456	06-28-2002	Tatsuo et al		✓
	BH	JP-2004059013	02-26-2004	Masaki et al		✓
	BI	JP-2004240688	08-26-2004	Tokio Marine & Fire Insurance Co		✓
	BJ	JP-2001118175	04-27-2001	Matsushita Electric Ind. Co		✓
	BK	JP-2002092764	03-29-2002	Yamusuku et		✓
	BL	JP-2002133117	05-10-2002	Kawahara et al		✓
	BM	JP-2004013234	01-15-2004	Verutekku		✓
	BN	JP-2004017901	01-22-2004	Verutekku		✓
	BO	JP-2004078393	03-11-2004	NEC Fielding Ltd		✓

Examiner Signature		Date Considered	
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	3	of	3	Application Number	10/656,479
				Filing Date	September 4, 2003
				First Named Inventor	Jonathan Helitzer
				Art Unit	3626
				Examiner Name	Pass, Natalie
				Attorney Docket Number	HSDO-P01-003

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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